

13281 U.S.PTO

033004

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

566.35562CX2

First Inventor

Yutaka TAKAMI et al.

Title

ELECTRONIC PURSE

*Express Mail Label No.*U.S.PTO
10/31/04
22386
10/31/04

APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning patent application contents.**ADDRESS
TO:**Mail Stop Patent Application
Commissioner for Patents
POB 1450
Alexandria, Virginia 22313-1450

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification **[Total Pages: 33]**
 - Descriptive title of the invention (or title page)
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Formal Drawing(s)(35 U.S.C. 113) **[7 Shts.: Figs. 1-8]**
- Oath or Declaration **[Total Pages: 02]**
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
 - ii. **FILED WITHOUT DECLARATION**
- Application Data Sheet (See 37 CFR §1.76)

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment(s)/Recordation Cover Form(s) PTO-1595
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee) (In Declarations)
11. English Translation Document *(if applicable)*
12. Information Disclosure Copies of Refs.
Statement (IDS)/PTO-1449
13. 1ST Preliminary Amendment/Claims for Priority
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Form PTO-2038 (Fee Code 1001)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Division Continuation-in-part (CIP) of prior application No. 10/098,4090 filed 18 March 2002

Prior application information: Examiner: K. Frech Group Art Unit: 2876 Confirmation No.: 6955

CONTINUATION/DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer No./Bar Code Label		020457 <i>(Insert Customer No./Attach bar code label here)</i>		or <input type="checkbox"/> Correspondence address below	
Name	ANTONELLI, TERRY, STOUT & KRAUS, LLP				
Address					
City	State		Zip Code		
Country	Telephone	703-312-6600	Fax	703-312-6666	
Name	Paul J. SKWIERAWSKI			Registration No. (Attorney/Agent)	32,173
Signature	<i>Paul J. Skwierawski</i>			Date	30 March 2004

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$770.00)	Attorney Docket No.	566.35562CX3
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METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge DEFICIENCIES indicated to:
 Deposit Account Number 01-2135
 Deposit Account Name AT&K
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant Claims small entity status.
 See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit Card Money Order Other

FEE CALCULATION

2. BASIC FILING FEE

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385 Utility filing fee	
1002	340	2002	170 Design filing fee	
1003	530	2003	265 Plant filing fee	
1004	770	2004	385 Reissue filing fee	
1005	160	2005	80 Provisional filing fee	
SUBTOTAL (1)				\$770.00

1. EXTRA CLAIM FEES

Total Claims	1-20**	=	00	x\$18	=	\$00	Fee from below	Fee Paid
Indep. Claims	1-3**	=	00	x\$ 86	=	\$00		
Multiple Dependent								

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1201	18	2201 9 Claims in excess of 20
1202	86	2202 43 Independent claims in excess of 3
1203	290	2203 145 Multiple dependent claim, if not paid
1204	86	2204 43 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$00		

**or number previously paid, if greater; For Reissues, see above.

Complete if Known									
Application Number		Unassigned (\$53b Cont. of 10/098,409)							
Filing Date		30 March 2004							
First Named Inventor		Yutaka TAKAMI et al.							
Examiner Name		Unassigned (Parent - K. Frech)							
Group Art Unit		Unassigned (Parent - 2876)							
FEE CALCULATION (continued)									
3. ADDITIONAL FEES									
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description						
105	130	205	Surcharge – late filing fee or oath						
127	50	227	Surcharge – late provisional filing fee or cover sheet						
139	130	139	130 Non-English specification						
147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination						
112	920*	112	920* Requesting publication of SIR prior to Examination action						
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action						
115	110	215	55 Extension for reply within first month						
116	400	216	200 Extension for reply within second month						
117	920	217	460 Extension for reply within third month						
118	1,440	218	720 Extension for reply within fourth month						
128	1,960	228	980 Extension for reply within fifth month						
119	320	219	160 Notice of Appeal						
120	320	220	160 Filing a brief in support of an appeal						
121	280	221	140 Request for oral hearing						
138	1,510	138	1,510 Petition to institute a public use proceeding						
140	110	240	55 Petition to revive – unavoidable						
141	1,280	241	640 Petition to revive – unintentional						
142	1,280	242	640 Utility issue fee (or reissue)						
143	460	243	230 Design issue fee						
144	620	244	310 Plant issue fee						
122	130	122	130 Petitions to the Commissioner						
123	50	123	50 Processing fee under 37 CFR 1.17(q)						
126	180	126	180 Submission of Information Disclosure Stmt						
581	40	581	40 Recording each patent assignment per property (times number of properties)						
146	740	246	370 For each additional invention to be examined (37 CFR § 1.129(a))						
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))						
179	740	279	370 Request for Continued Examination (RCE)						
169	900	169	900 Request for expedited examination of a design application						
Other fee (specify)									
*Reduced by Basic Filing Fee Paid									
SUBTOTAL (3) (\$00									

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Paul J. SKWIERAWSKI	Registration No. (Attorney/Agent)	32,173	Telephone	703-312-6600
Signature				Date	30 March 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.